

Corning EthicsPoint Hotline ('Hotline') Privacy Notice

Available in the following languages:

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|-------------------------|----------------------------|--------------------------|--------------------------|---------------------|------------------------|
| Deutsch | Español | Français | Italiano | 日本語 | 한글 |
| Magyar | Nederlands | Polski | Türkçe | 汉语 | 汉语[SI] |

Last Reviewed: July 2024

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| Data Controllers | FOR CODE OF CONDUCT REPORTING: Corning Incorporated and relevant local Corning entity acting as Joint Controllers (hereinafter referred to as 'Corning') Note: Please click here to view the names and contact details of all relevant Corning entities (highlighted in green), and if applicable, contact information of the appointed Data Protection Officers. |
| | FOR EU WHISTLEBLOWER REPORTING: Corning Incorporated and relevant local Corning entity acting as Joint Controllers (hereinafter referred to as 'Corning') Note: Please click here to view the names and contact details of all relevant Corning entities (highlighted in green), and if applicable, contact information of the appointed Data Protection Officers. |
| | FOR SUPPLY CHAIN RELATED COMPLAINTS: Corning Incorporated and relevant local Corning entity acting as Joint Controllers (hereinafter referred to as 'Corning') Note: Please click here to view the names and contact details of all relevant Corning entities (highlighted in green), and if applicable, contact information of the appointed Data Protection Officers. |
| Point of Contact | For questions or complaints please contact the Corning Privacy Office at privacy@corning.com . |

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| Purposes of Processing your Personal Data | <p>Corning intends to process your information, including: name and contact details; any questions you may have; the name and title of all individuals you may be reporting; a description of the suspected legal or compliance violation; and all relevant facts and details, which is considered as “personal data”, in order to:</p> <ul style="list-style-type: none"> - evaluate and conduct an investigation of possible company or applicable laws/regulations violations - diligently follow up on the reports received to take actions for the investigations; - prepare the reports in order to collect evidence related to the ongoing investigations. |
| Legal Bases | <p>FOR CODE OF CONDUCT REPORTING:</p> <p>Processing of your personal data is based on:</p> <ul style="list-style-type: none"> (I) Legal obligation: Corning is subject to extensive legal, regulatory and compliance obligations and is bound by law to have reporting channels in place to process your reports. (II) Legitimate interest: Corning may also process your data to protect its own legitimate interest, to ascertain, exercise or defend Corning’s rights in court. |
| | <p>FOR EU WHISTLEBLOWER REPORTING:</p> <p>Processing of your personal data is based on:</p> <ul style="list-style-type: none"> (I) Legal obligation: Corning is subject to extensive legal, regulatory and compliance obligations and is bound by law to have reporting channels in place to process your reports. (II) Legitimate interest: Corning may also process your data to protect its own legitimate interest, to ascertain, exercise or defend Corning’s rights in court. (III) Consent: In limited cases listed below where consent is required prior to data processing, we will only process your personal data if you give your consent: <ul style="list-style-type: none"> -to record your voice during a face-to-face meeting. -to inform external parties about the identity of the Whistleblowers where such prior consent is required by law. |
| | <p>FOR SUPPLY CHAIN RELATED COMPLAINTS:</p> <p>Processing of your personal data is based on:</p> <ul style="list-style-type: none"> (I) Legal obligation: Corning is subject to extensive legal, regulatory and compliance obligations and is bound by law to have reporting channels in place to process your reports. |

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| | <p>(II) Legitimate interest: Corning may also process your data to protect its own legitimate interest, to ascertain, exercise or defend Corning's rights in court.</p> |
| Your Rights | <p>FOR CODE OF CONDUCT REPORTING:</p> <p>You have the right to request:</p> <ul style="list-style-type: none"> - access to your personal data - rectification of your personal data - erasure of your personal data - restriction of processing of your personal data - object to the processing of your personal data - data portability <p>Please contact privacy@corning.com if you wish to exercise any of the above rights.</p> <p>Furthermore, you have the right to lodge a complaint with a supervisory authority.</p> |
| | <p>FOR EU WHISTLEBLOWER REPORTING:</p> <p>You have the right to request:</p> <ul style="list-style-type: none"> - access to your personal data - rectification of your personal data - erasure of your personal data - restriction of processing of your personal data - object to the processing of your personal data - data portability <p>Please contact WhistlebEU@corning.com if you wish to exercise any of the above rights.</p> <p>Furthermore, you have the right to:</p> <ul style="list-style-type: none"> - lodge a complaint with a supervisory authority, - right to withdraw consent at any time by contacting WhistlebEU@corning.com |
| | <p>FOR SUPPLY CHAIN RELATED COMPLAINTS:</p> <p>You have the right to request:</p> <ul style="list-style-type: none"> - access to your personal data - rectification of your personal data - erasure of your personal data |

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| | <ul style="list-style-type: none"> - restriction of processing of your personal data - object to the processing of your personal data - data portability <p>Please contact privacy@corning.com if you wish to exercise any of the above rights.</p> <p>Furthermore, you have the right to lodge a complaint with a supervisory authority.</p> |
| Recipients of Your Personal Data | <p>Your personal data will be accessible by recipients on a case-by-case basis:</p> <ul style="list-style-type: none"> - Corning personnel on a strictly need to know basis - NAVEX technical staff for technical support purposes <p>We will only transfer your data to external parties as part of investigations regarding reports if there is a valid legal basis.</p> <p>Corning may share personal data with parties below to fulfill its investigatory obligations:</p> <ul style="list-style-type: none"> - External advisors retained to assist in investigations such as law firms or auditing companies. - Courts, law enforcement authorities and other judicial bodies - Works councils and other employee representative bodies (if required by national laws/regulations) |
| Transfer of Personal Data to Third Countries | <p>The information you provide will be stored on servers hosted by NAVEX in the US. NAVEX has entered into Standard Contractual Clauses (SCCs) with Corning to permit the international transfer of personal data. In addition, personal data will be transferred to Corning personnel located outside of the EU for investigatory purposes. For allowing transfers of personal data to Corning personnel located outside of the EU, Binding Corporate Rules have been implemented (BCRs). You may request a copy of Corning's BCRs and the SCCs with NAVEX by sending an email to: privacy@corning.com.</p> |

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| Period of Storage | <p>Your personal data is stored for the period necessary to fulfill the above purposes.</p> <p>FOR CODE OF CONDUCT REPORTING: Personal data shall be retained for no longer than 7 years from the date on which the outcome of the investigation is communicated to you. If necessary to establish, exercise or defend the rights of Corning before a court based on legitimate interest; the data will be kept for the duration of the court proceedings or until the time for appeal has passed.</p> <p>FOR EU WHISTLEBLOWER REPORTING: For whistleblower reports originating within the EU, personal data shall be retained for no longer than 3 years from the date on which the outcome of the investigation is communicated to you. If necessary, to establish, exercise or defend the rights of Corning before a court based on legitimate interest; the data will be kept for the duration of the court proceedings or until the time for appeal has passed.</p> <p>If the report is unfounded, the personal data in your report will be anonymized within two months from the date on which the outcome of the investigation is communicated to you.</p> <p>You have the right to withdraw your consent at any time. Please note that this withdrawal does not affect the lawfulness of processing based on this consent before its withdrawal and complete deletion of all copies of your personal data cannot always be guaranteed as explained in the 'Legal Bases' section.</p> <p>FOR SUPPLY CHAIN RELATED COMPLAINTS: For complaints related to Supply Chain (such as under Supply Chain Act - LkSG), your personal data shall be retained for 7 years from the date on which the outcome of the investigation is communicated to you. If necessary, to establish, exercise or defend the rights of Corning before a court based on legitimate interest; the data will be kept for the duration of the court proceedings or until the time for appeal has passed.</p> |
| Further Information Regarding Privacy at Corning | <p>For further information on privacy at Corning, please visit:</p> <p>https://www.corning.com/worldwide/en/privacy-policy.html</p> |