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Title: COC Restriction of Substances

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Level 2

Page 1 of 1

1.0 Purpose

In order to meet Corning Optical Communications (“COC”) regulatory and customer requirements, COC pursues compliance to environmental product legislations.

This document establishes COC’ environmental compliance requirements to be adhered by our suppliers that deliver products to COC under the scope of clause 2.0. below.

2.0 Scope

This web document applies to all Raw Material and Products delivered to COC.

3.0 Requirements

It is our supplier’s responsibility to comply with the European Directive 2011/65/EC Restriction of Hazardous Substances (RoHS), and with the European Regulation EC 1907/2006 (REACH) and all of its future amendments. We also require attention to the “The Candidate List” of Substances of Very High Concern (SVHC), which lists substances that may become part of Annex XIV of the REACH Regulation and thus will require authorization for usage.

If any of the substances restricted under RoHS or listed in “The Candidate List” or in Annex XIV of the REACH Regulation is present in the products supplied to us by our supplier, our supplier must notify COC and disclose the parts per million (ppm) values of the substance.

Any product sold to COC must comply with the latest issue of the above mentioned legislations. Exemptions must be approved in writing by an authorized COC purchasing agent. Details can be found for REACH at

http://echa.europa.eu/home_en.asp, and for RoHS at

http://ec.europa.eu/environment/waste/rohs_eee/events_rohs3_en.htm

The supplier warrants that he will comply with the requirements set out in this document and that he will indemnify and hold COC harmless for any damages, liabilities, penalties, fines or other costs attributed to COC by any party as a result of failure to comply with the such requirements.

4.0 Certificate of Compliance

The supplier is required to provide written notification to all affected COC plants of any changes to products or to the manufacturing process, manufacturing equipment, and/or manufacturing location 90 days prior to implementation of the change. COC reserves the right to request evaluation samples (sample size will be determined by COC) in order to approve the change.

A Certificate of Compliance to RoHS, to REACH “The Candidate List” and to Annex XIV of REACH is required with each initial purchase, with every subsequent material or process engineering change and with each revision update of this document. Supplier shall indicate the Document No, the Title and the Issue number of the document (see header of this document) in his Certificate of Compliance.