A NEW INSTRUMENT FOR ROUTINE OPTICAL TESTING OF GENERAL ASPHERICS

Peter M. Emmel Tropel Inc.

Fairport, New York 14450

and Kang M. Leung

Honeywell Corporate Materials Sciences Center Bloomington, Minnesota 55420

Abstract

A unique and versatile laser interferometer has been jointly developed by Honeywell, Tropel and the University of Arizona. The system is designed for routine non-contact testing of aspheric optical surfaces (using a Computer-Generated Hologram [CGH] to provide the aspheric component of the reference wavefront) while retaining the features and capabilities of the standard Tropel Vertical Interferometer. Thus it is capable of measuring radius and/or optical figure of spheres, flats, conics and general aspherics. The instrument provides convenient interchangeability among Fizeau, Twyman-Green, CGH and Lateral Shearing modes of operation in a single compact unit. It is also capable of direct interfacing with a computer for wavefront measurement or analysis. The operation of the system is described and data is presented from a CGH test of an f/2 paraboloid (using a spherical test wavefront) for which independent comparative data is also given.

Introduction

Precision lens molding and, more recently, ultraprecision machining techniques are narrowing the gap in production costs between spherical and non-spherical optical surfaces. Indeed, from the fabrication standpoint, in either of these technologies, there is little difference between making a sphere and making an asphere. However, when it comes to measuring the surface figure, aspherics have always posed serious problems. The rapid commercialization of aspheric manufacturing technology requires a corresponding development in measurement capability.

Interferometric equipment for non-contact testing of various optical surfaces has been in use for many years. However, with a few exceptions, commercially available instruments have been limited to the testing of flats and concave spheres. The exceptions are those offering a variety of focusing lenses, with reasonably large apertures, for testing a useful range of convex spheres. Using simple auxilliary optics, most available instruments can also test conic surfaces such as paraboloids, ellipsoids and hyperboloids. General aspherics, however, with more than a few waves departure from the nearest conic, usually exceed the capability of these instruments to even view, let alone accurately measure.

The literature contains many laboratory setups for overcoming the difficulties in testing aspherics.(1,2) The most promising are those using holographic techniques to generate a reference wavefront which matches the wavefront reflected by the aspheric test surface.(3)The resulting interference fringes are interpreted in the same way as those in conventional two-beam interferometers.

Another method for testing aspherics is lateral wavefront shearing, where the test beam is divided into two identical beams with a slight lateral displacement. Interference between these displaced beams produces a fringe pattern which, for small displacements, is directly related to the slope of the wavefront, but only indirectly related to its total depth. Obtaining accurate depth information from such a fringe pattern requires numerical analysis best performed by a computer. (4)

This paper describes the implementation of both of these techniques - holography and shearing - in a commercially oriented laser interferometer, thus adding aspherics to its other surface testing capabilities. The interferometer was developed jointly by Honeywell, Tropel and the University of Arizona. The intent was to provide a single instrument versatile enough to satisfy the needs of several groups for a convenient interferometric means of testing a variety of optical materials and surfaces including aspherics. Since these groups were engaged in developing various production technologies, the system had to be practical in routine production-level use where ease of setup and adjustment are key factors. It was also intended to be adaptable to possible future needs, such as real-time holography and direct computer interfacing. The Tropel Model 4000 was chosen as a starting point because of its convenient vertical test beam and its Fizeau testing capability for flats, spheres and conics. The internal layout of the Model 4000 along with its single-frequency HeNe laser and its relatively small internal beam diameter, all proved to be particularly advantageous.

The interferometer design was modified to allow use of computer-generated holograms (CGH) and lateral wavefront shearing for testing of known and unknown aspherics having up to several hundred waves departure from the nearest sphere. The design also permits the generation of real-time holograms in cases where a master aspheric surface is available. The range of radius and figure measurement was extended to 450mm and a motor drive was added to the vertical slide. All other features of the standard interferometer were retained.

Several additional features were necessary in order to implement the CGH mode of operation. The most important of these was that a real image of the test surface had to be projected onto the hologram plane. Failure to satisfy this condition causes systematic measurement errors which increase in proportion to the asphericity of the surface under test. Accuracy was maintained over the full range of radii by providing variable (stepwise) magnification and independent focusing between the test surface and the hologram plane.

System Description

The system is essentially a two-beam interferometer with its test and reference beams separated according to their polarization states. In order to minimize system wavefront deformation and maximize repeatability, the system was designed so that all the lenses are used on-axis. To achieve efficient use of light, polarizing beam splitters are used with quarter-wave retardation plates appropriately located to obtain the desired beam paths, as shown in figure 1.



94 / SPIE Vol. 171 Optical Components: Manufacture & Evaluation (1979)

The layout is best described by identifying its five "arms": the source arm, the test arm, the relay arm, the reference arm, and the viewing arm. In the source arm the linearly polarized beam is expanded and collimated. Its plane of polarization is rotated according to the half-wave plate (HW) adjustment. The first polarizing beamsplitter (BS-1) reflects the S-polarized component, which becomes the reference beam, and transmits the P-polarized component, which becomes the test beam. Quarter-wave plates (QW), located in the test and relay arms, control the polarization of the test beam and thus determine whether it is transmitted or reflected on each succeeding encounter with BS-1.

The vertically oriented test arm consists of a series of interchangeable beam expanding and focusing lenses. A particular combination is chosen to provide an appropriate spherical (or plane) wavefront to nearly match the test surface. The test surface, positioned for the best "fit" to this wavefront, reflects the beam back through the test arm optics. Any mismatch between the test surface and the illuminating wavefront is captured as a deformation of the returning wavefront. Three beam sizes may be selected by adjusting the variable beam expander, which also varies the viewing magnification.

The returning test beam is reflected by BS-1 and enters the relay arm. The relay is a retroreflector consisting of a lens with a plane mirror located at its focus. It reflects a real image of the test surface back through the beamsplitter toward the hologram in the viewing arm. The relay is adjusted along its track to bring the test surface into sharp focus at the hologram plane, without affecting its magnification.

In the space between the two beamsplitters the test and reference beams are recombined, but they are still orthogonally polarized. They are separated by the second beamsplitter (BS-2), which transmits the reference beam and reflects the test beam along the axis of the viewing arm. The viewing arm contains the hologram, a second relay lens and a closed circuit television camera. Figure 2 gives a more detailed view of the reference and viewing arms of the interferometer. The path of the reference beam is determined by two sets of quarter-wave plates and adjustable plane mirrors (RM-1 and RM-2). Adjustment of the mirrors gives the necessary reference beam angle at the hologram.



Figure 2. Viewing Arm Schematic

SPIE Vol. 171 Optical Components: Manufacture & Evaluation (1979) / 95

The hologram itself is a fringe pattern, up to l4mm diameter, plotted from a computer raytrace of the complete interferometer system and recorded on film. Some of the energy in the test and reference beams is diffracted by the hologram into plus and minus first-order beams. These are brought to focus, along with the zero-order beams, on a white pinhole plate (spatial filter) where they are easily viewed. When the reference beam is properly adjusted, the first-order reference and zero-order test beams are superimposed. The pinhole is adjusted to block all but these two beams.

The TV camera is located behind the pinhole, at the image of the hologram. A rotatable linear analyzer is mounted on the front of the camera with its axis oriented at 45°. This allows the two beams to form visible interference fringes which are displayed on the video monitor. These fringes are interpreted as ordinary Twyman-Green fringes, showing the contour of any mismatch between the test surface and the desired figure "encoded" in the hologram. The accuracy of this testing technique is limited mainly by the geometric accuracy of the hologram and is currently estimated to be within 1% of the total asphericity for any particular surface.

A surface whose asphericity exceeds the limit for CGH testing (currently about 300 waves per radius slope) may be measured by lateral wavefront shearing. To do this, the reference beam is blocked by closing the shutter, and a calcite block is installed in the viewing arm ahead of the hologram relay lens. Proper adjustment of the analyzer gives lateral shearing fringes which can be digitized for computer analysis. The shear distance is measured by inserting a reticle in the hologram holder and measuring the separation of its double images.

Flat or spherical surfaces require neither a hologram nor a shearing block, and are generally tested in the Fizeau mode. In this case the reference arm is again blocked and the appropriate Fizeau reference attachment is installed in the test arm. The top surface of such an attachment is a partial reflector polished to an extremely accurate flat or spherical figure. The fraction of the beam reflected by this surface becomes the reference beam. Since it follows essentially the same path as does the test beam, system wavefront deformations do not show up in the observed fringe pattern. The accuracy of this test is 1/10 wave or better, but its range is limited to surfaces within a few waves of being flat or spherical. If a suitable high quality auxilliary mirror is used, conic surfaces may also be tested in the Fizeau mode.

Aspheric Surface Test

This has been an extremely brief discussion of the interferometer. A case history will serve to better illustrate the use of the instrument to test a particular aspheric surface. A 4-inch diameter f/2 paraboloid was chosen because it is a conic and could be relatively easily fabricated and independently tested for comparison. The surface equation for the test surface (parabola) was entered into the raytrace program along with the optical design data for the interferometer system. For this particular surface the f/1.8 setting of the variable beam expander was used. The program found the best position for the surface in the test beam and calculated the fringe pattern that would be produced at the hologram plane if the test beam were interfered with a plane reference beam at a particular nonzero incidence angle. The incidence angle was chosen to be about three times the maximum slope angle of the test wavefront, so that the diffracted orders would be sufficiently separated at the pinhole. This fringe pattern was output in the form of plotter commands on magnetic tape. The tape was then transferred to a Dicomed Digital Image Recorder which plotted the pattern on a high resolution, low distortion CRT and recorded it on 35mm Plus-X film. This image was then rephotographed on Kodak SO173 at the correct magnification to give a l4mm pattern at full beam diameter using a fiducial mark. The resulting negative was bleached to enhance its diffraction efficiency.

After installing the appropriate test arm lenses (125mm diameter f/l in this case) and checking the alignment of the interferometer, the test surface was installed and adjusted to the correct position as specified by the computer raytrace. The relay was adjusted for a sharp image of the test surface and the hologram was installed. The reference beam was adjusted to superimpose the proper orders at the pinhole and obtain a fringe pattern on the monitor. Fine adjustment of the hologram centration and the reference beam tilt resulted in the best "fit" leaving a fringe pattern, shown in figure 3c, representing the residual error in the parabola.

Figures3a,b illustrate the asphericity of the test surface by showing Twyman-Green fringe patterns produced independently by the surface (in-position on the interferometer) and by the hologram alone. The "asphericity" measured in this example was actually the difference between the parabolic test surface and the spherical test wavefront illuminating it. Thus, the hologram removed about 40 waves of spherical aberration and defocus from the fringe pattern, allowing the residual figure error to be accurately measured. Digitization of the lower fringe pattern showed an rms surface deviation of 0.03 waves and a peak-to-

96 / SPIE Vol. 171 Optical Components: Manufacture & Evaluation (1979)

valley depth of 0.15 waves. An independent autocollimation test was made at the University of Arizona using a different interferometer and a precision optical flat. The interferogram from this test is shown in figure 4. Digitization of this interferogram shows 0.035 waves rms and 0.17 waves peak-to-valley agreeing with the CGH results within a few hundredths of a wave.

Figure 3a

4" Dia. F/2 Parabola Twyman-Green Interferogram Figure 3b

Wavefront Diffracted by Computer-Generated Hologram To Simulate perfect F/2 Parabola and Compensate Instrumental Error Figure 3c

CGH Test of the F/2 Parabola

Surface Deviation is: 0.03 waves RMS (6328Å) 0.15 waves P-V

Figure 4

Autocollimation Test of F/2 Parabola

Surface Deviation is: 0.035 waves RMS 0.171 waves P-V

EMMEL, LEUNG

The paraboloid was also tested in the shearing mode. Interferograms were taken with the shear in orthogonal directions, as shown in figure 5. Aberration theory predicts 39.2 waves of spherical aberration for this setup. For comparison both the shearing and the Twyman-Green interferograms were measured, giving values of 39.2 and 39.0 waves respectively. It was the extremely fine fringe spacing that hampered the measurement of aspheric Twyman-Green fringe patterns and led us to seek better ways of testing aspherics in the first place. In the lateral shearing technique this problem is controlled because the fringe spacing is partially a function of the shear distance, which can be varied. The CGH technique completely eliminates the problem for aspherics up to a few hundred waves.

Figure 5a

Using Calcite Block to test F/2 Parabola

Lateral Shearing Interferogram Lateral Shearing Interferogram (Calcite Block Rotated 90⁰)

These comparative results confirm the soundness of the techniques and the quality of the equipment. Another very important comparison is the time required to obtain results in each of these modes. In all cases the setup and adjustment of the interferometer was straightforward and convenient. In terms of turnaround time from scratch for testing a new surface, the CGH mode took by far the longest because of the time required for cal-culating and making the hologram (a matter of days with the present arrangements). However, once the hologram was ready, the fringe pattern was observed in a few minutes. If several of the parabolas had been available, they could all have been measured, using the same hologram, in a time period comparable with any other production-oriented interferometer. In order to obtain comparable results, both the Twyman-Green (when fringes are resolvable) and shearing modes require fringe digitization and data reduction for each surface tested. Clearly the CGH mode is preferable for situations where several nominally identical surfaces are to be measured, while shearing is most practical for occasional or one of a kind surfaces.

Figure 5b

Further Considerations

There are a few ways in which the CGH turnaround time could be drastically reduced. At the moment the various operations are not all in-house. Under one roof, the turnaround time could be less than 24 hours. Even shorter times could be realized if the hologram were exposed directly from mag tape onto the final film (or thermoplastic). This is within the capability of a (hopefully) growing number of X-Y laser beam recorders.

If a quantitative analysis of the residual fringe pattern is required, there are two sophisticated techniques currently in use. One of them is fringe digitization, in which the intersections of the fringes in a particular interferogram with a set of parallel, equally spaced lines are supplied to the computer for curve fitting or other analysis. The least tedious way of getting this fringe data into the computer is to let the computer help by using an interactive video terminal with software that can find most of the fringes for itself. The other analysis technique is direct phase measurement, in which the interferometer is connected directly to the computer. Tropel's minicomputer-based System 70, for example, measures the equivalent of over 300 interferograms during its 6-second data

98 / SPIE Vol. 171 Optical Components: Manufacture & Evaluation (1979)

taking period and has the wavefront data (32 X 32 grid) available for analysis or redisplay in less than one minute. This gives it a very high signal to noise factor and tends to eliminate transient effects which are often "frozen" in a single interferogram.

It is not hard to visualize the interferometer, CGH recorder, and keyboard, all connected to the computer, with access to software for ray tracing, CGH calculation and wavefront analysis. When one considers the equipment that is now being developed for fabricating precision aspherics, a surface measurement system like this is clearly an essential, independent measurement tool compatible with the machine control systems in both accuracy and data format.

Acknowledgement

The authors wish to acknowledge the valuable personal assistance of Dr. James C. Wyant, at the University of Arizona's Optical Sciences Center. His work in the field of aspheric surface testing formed the basis for the design of the instrument we describe.

References

1. Polster, H. D., et al, "New Developments in Interferometry", Applied Optics, Vol. 8, pp. 521-556. 1969.

2. Wyant, J. C., "Testing Aspherics Using Two-Wavelength Holography", Applied Optics, Vol. 10, pp. 2113-2118. 1971.

3. MacGovern A. J., and J. C. Wyant, "Computer Generated Holograms for Testing Optical Elements", <u>Applied Optics</u>, Vol. 10, pp. 619-624. 1971. 4. Rimmer, M. P., and J. C. Wyant, "Evaluation of Large Aberration Using a Lateral-

Shear Interferometer Having Variable Shear", Applied Optics, Vol. 14, pp. 142-150. 1975.